



*Société d'Investissement à Capital Variable*  
Registered office: 2-4, rue Eugène Ruppert,  
L-2453 Luxembourg  
R.C.S. Luxembourg No. B 157.442  
(the “Fund”)

Version last updated on: 23<sup>rd</sup> May 2024

## Investor Privacy Notice

This privacy notice (“Privacy Notice”) applies to personal information that Global Evolution Funds, with registered office at 2-4, rue Eugène Ruppert, L - 2453 Luxembourg, Grand Duchy of Luxembourg and registered with the Luxembourg Trade and Companies Register – “RCS” under number B.157.442 (the “Fund”) (acting in respect of any relevant Sub-Fund as applicable) (“we”/“our”/“us”) may obtain and process about its investors (or potential investors) or any individual employee, proxyholder, other representative or ultimate beneficial owner of an investor or potential investor thereof (“you”/“your”).

This Privacy Notice describes the types of personal information we may obtain and process, how we may use the information, with whom we may share the information (including where they are located), how long we will retain the information and your choices regarding our use of the information. We also describe the measures we take to safeguard the information and tell you how to contact us about our privacy practices as provided for under applicable data protection legislation and regulation to which we are subject, in particular the EU General Data Protection Regulation No 2016/679 (“GDPR”).

The information contained in this Privacy Notice replaces the information contained in Article 3.11. “Data protection” of the Prospectus and in the “Personal data” clause of the Account Opening Form with respect to the processing of the investors’ personal data by the Fund.

### Who We Are

This Privacy Notice is issued by the Fund when acting as “controller” of the processing operations concerning personal data we process about you. For further information and question about the processing of your personal data by the Fund or its service providers, please contact us as indicated in the [How To Contact Us](#) section of this Privacy Notice.

### Information We Collect

#### *Personal Information you provide when subscribing to the Fund*

We may collect and process various personal information about you for the purposes set out in this Privacy Notice, in particular when you subscribe for Fund’s interests via completion of a subscription agreement and/or completion of any other ancillary document/form in relation to the Fund, or when you otherwise communicate with us, including in particular:

- contact information, such as your name, postal address, email address, telephone number, facsimile number, Social Security Number, government identification number, Tax Identification Number, copies of passport or other official documentation;

- financial information such as your investment experience or financial expertise, details of your investment – number of shares held or proposed investment;
- other information regarding your work authorization status, work history, references, education history, employment history and professional designations; and other personal information about your qualifications.
- information resulting from KYC and AML-CTF checks.

*Personal Information we collect from other sources*

We may also obtain some information about you indirectly, for example from publicly available sources such as the Internet and/or subscription based services or public/governmental registries.

*Sensitive Information*

We only process information about criminal offences to the extent required by applicable laws, in particular with regard to KYC and AML-CTF screening and financial sanction screening programmes, and in any case within the limits set out in those applicable laws, in particular when such processing is mandated or authorized by applicable laws providing appropriate safeguards for your rights and freedoms.

In the event that you are identified as a PEP as a result of any required screening process, you may be required to provide additional information and/or documentation to the Fund or its service providers. Such processing, which may reveal your political opinion or other special categories of personal data will only occur in limited circumstances. The Fund may notably process such type of personal data (i) which you have manifestly made public; or (ii) necessary for reasons of substantial public interest.

*How We Use the Information We Collect*

We may process, notably via our service providers, the aforementioned personal data for the following purposes and their correlated legal bases as further described hereunder:

	Purpose	Legal Basis
a)	To perform our contract, including managing the assets of the Fund, providing periodic and annual reporting, responding to your queries and requests (including complaint handling) and all correlated communications, managing payments and distributions, provision of related investor services	<ul style="list-style-type: none"> <li>• To perform our contract with you</li> <li>• We also have a legitimate business interest in carrying out processing for some of these purposes where we consider that, on balance, our legitimate interests are not overridden by your interests, fundamental rights or freedoms</li> </ul>
b)	Legal compliance - detecting, investigating and preventing breaches, fraud, and criminal offences, in accordance with applicable laws	<ul style="list-style-type: none"> <li>• The processing is necessary for compliance with a legal obligation; or</li> <li>• We have a legitimate interest in carrying out the processing</li> </ul>
c)	CRS/FATCA or other tax reporting and/or ultimate beneficial owners declarations	<ul style="list-style-type: none"> <li>• The processing is necessary for compliance with a legal obligation</li> </ul>
d)	KYC - confirming your identity and screening against government, supranational bodies or	<ul style="list-style-type: none"> <li>• The processing is necessary for compliance with a legal obligation;</li> </ul>

	sanctions lists or performing other on-boarding due diligence	
e)	AML-CTF checks – carrying due diligence checks	<ul style="list-style-type: none"> <li>• The processing is necessary for compliance with a legal obligations</li> <li>• The processing is necessary for the performance of a task carried out in the public interest</li> </ul>
f)	Reporting to and/or cooperating with supervisory and regulatory bodies, and/or other authorities pursuant to applicable laws and regulations and complying with general prudential duties	<ul style="list-style-type: none"> <li>• The processing is necessary for compliance with a legal obligation</li> </ul>
g)	Legal proceedings	<ul style="list-style-type: none"> <li>• We have a legitimate interest in carrying out the processing for the purpose of establishing, exercising or defending our legal rights</li> </ul>
h)	Conducting merger and acquisition and/or any other restructuring and/or financing operations concerning the Fund or its assets	<ul style="list-style-type: none"> <li>• We have a legitimate interest in carrying out the processing for the purpose of improving our products or services where we consider that, on balance, our legitimate interests are not overridden by your interests, fundamental rights or freedoms</li> </ul>

#### Recipients of your personal data

For proper performance of the aforementioned purposes, we may share personal information with third parties (e.g. service providers) who perform services on our behalf.

In particular, the Fund may share your personal data with the following recipients or any of their successor such as:

- (a) the Management Company, currently Global Evolution Manco;
- (b) the administrative, domiciliary, registrar and transfer and paying agent and the depositary, currently The Bank of New York Mellon SA / NV, Luxembourg Branch, – which may either act as controller or as processor depending on the circumstances, and which may in turn uses the services of sub-processors (third parties or affiliated parties such as BNY Mellon USA, BNY Mellon – India, BNY Mellon – Singapore & Hong-Kong, BNY Mellon – ASIA / EAST (Singapore, Hong-Kong, Japan), BNY Mellon – UK & Ireland, BNY Mellon – Poland), some of which may be located in countries outside of the EEA such as the United States of America, India, Singapore, Hong-Kong, Japan and the United Kingdom;
- (c) accountants, auditors, tax advisors, lawyers located in the EEA;
- (d) banking establishments or lenders;
- (e) governmental, judicial or administrative bodies, in Luxembourg or abroad;
- (f) IT and communication services providers located in the EEA (such as our digitization or e-archiving service provider) or in the United Kingdom (such as the Vendor of the application Ruleguard that enables recording and end to end process of regulatory breaches and complaints or the Technology provider of the Mutual Fund Transfer Agency system);

- (g) any public register to be used for ultimate beneficial owners declarations (as accessible online as the case may be);
- (h) master vehicles in which the Fund or Sub-Fund may take a participation (if any).

We also may disclose information about you (i) if we are required to do so by law, regulation or legal process (such as a court order or subpoena or for tax reporting purposes), (ii) in response to requests by government agencies, such as law enforcement or regulatory authorities, (iii) for the purpose of or in connection with legal proceedings, or otherwise for the purpose of establishing, exercising or defending our legal rights, or (iv) when we believe disclosure is necessary or appropriate to prevent physical harm or financial loss or in connection with an investigation of suspected or actual illegal activity. We also reserve the right to transfer any information we have about you to potential third party acquirer(s) in the event we sell or transfer all or a portion of the Fund's assets (including in the event of a reorganization, dissolution, liquidation or other corporate event) and/or target companies.

The disclosures of personal information referred to above may include transfers (notably via our service providers) to third countries, which are not regarded by the European Commission as ensuring an adequate level of data protection. Japan and the United Kingdom are currently considered by the European Commission as countries ensuring an adequate level of protection.

In case of transfers of personal information to entities located in non-EEA countries, such transfers will rely on appropriate safeguards as permitted or required under the GDPR. You can obtain from us more information in respect of transfers outside of the EEA upon request.

#### Record-Keeping of personal data

The period for which we will keep your personal information varies depending on the information and why we obtained it. Personal information will not be held for longer than it is needed, given the purposes for which it is held.

In any case, the Fund and its appointed service providers will retain all documentation provided by you in relation to your investment in the Fund for such period of time as may be required by Luxembourg legal and regulatory requirements, and after the period of your investment has ended or the date on which you had your last transaction with us. In this respect, the Fund holds personal data for a subsequent period of ten (10) years where necessary to comply with applicable laws and regulations, and/or to establish, exercise or defend actual or potential legal claims.

#### Your data protection rights

Within the limits set out in the GDPR, you can benefit from:

- (a) a right to know what personal data we process and a right of access to such personal data;
- (b) the right to request any incomplete or inaccurate personal data be corrected;
- (c) the right to require us to delete your personal data and/or otherwise restrict our processing of your personal data in some circumstances;
- (d) the right to object to our processing of some or all of your personal data on grounds relating to your particular situation which are based on legitimate interests, at any time (and require such personal data to be deleted). If you object, we shall no longer process your personal data unless we can demonstrate compelling legitimate grounds for such processing which override your interests, rights and freedoms or where it is necessary for the establishment, exercise or defence of legal rights; and

(e) a "data portability" right to require us to transfer your personal data to you or to a new service provider in a structured, commonly used and machine-readable format.

To exercise any of these aforementioned rights, please contact us as indicated in the [How To Contact Us](#) section of this Privacy Notice.

You also have the right, at any time, to lodge a complaint about our processing of your personal data with the relevant body regulating data protection in your country (for the European Union, details are available [here](#)).

#### Updates To Our Privacy Notice

This Privacy Notice may be updated periodically to reflect changes in our personal information practices. We will bring such changes to your attention by any proper mean of communication.

#### How To Contact Us

If you have any questions about this Privacy Notice, or if you would like us to update information we have about you or your preferences or exercise the other rights described in this Privacy Notice, please contact us at:

Global Evolution Funds  
The Bank of New York Mellon S.A. (Luxembourg)  
2-4 rue Eugene Ruppert,  
Vertigo Building – Polaris  
L-2453 Luxembourg  
Phone: [+352 24 52 57 08](tel:+35224525708)  
Fax: [+352 24 52 42 10](tel:+35224524210)

Anyone, either as a direct investor or a nominee, who communicates to the Fund personal data about any third party data subject when subscribing to the Fund must first provide the latter with the information about how the Fund processes personal data as described in this Privacy Notice. The Fund will hence consider that the data subjects concerned are informed of the processing of their personal data relating to them that the Fund may carry out as described herein.

The prospectus, the key information document (KID), the articles of incorporation and the annual and semi-annual reports can be obtained free of charge from the Swiss representative, Acolin Fund Services AG Leutschenbachstrasse 50, CH-8050 Zürich.

Representative in Switzerland:  
Acolin Fund Services AG  
Leutschenbachstrasse 50  
CH-8050 Zürich

Paying agent in Switzerland:  
NPB Neue Privat Bank AG  
Limmatquai 1 / am Bellevue, Postfach  
CH-8024 Zürich